

Syllabus

Kursbeschreibung

Titel der Lehrveranstaltung	Bank- und Kapitalmarktrecht
Code der Lehrveranstaltung	25410
Zusätzlicher Titel der Lehrveranstaltung	
Wissenschaftlich-disziplinärer Bereich	IUS/05
Sprache	Italienisch
Studiengang	Master in Accounting und Finanzwirtschaft
Andere Studiengänge (gem. Lehrveranstaltung)	
Dozenten/Dozentinnen	Prof. Stefano Lombardo, Stefano.Lombardo@unibz.it https://www.unibz.it/en/faculties/economics-management/academic-staff/person/2883
Wissensch. Mitarbeiter/Mitarbeiterin	
Semester	Zweites Semester
Studienjahr/e	2
KP	6
Vorlesungsstunden	36
Laboratoriumsstunden	-
Stunden für individuelles Studium	-
Vorgesehene Sprechzeiten	18
Inhaltsangabe	The course deals with the topics related to banking law with reference to the single rule book, to the European banking union, to the notion, the management, and the supervision of the banking activity. For capital market law, the course deals with topics related to the financial services and activities, the collective asset management, the trading venues, market abuse, the prospectus, and the initial public offering.

Themen der Lehrveranstaltung	<p>Single Rule book and Banking Union</p> <p>Banking Activity and management, controls and supervision</p> <p>Supervision of intermediaries, financial services and activities, collective management of savings, trading venues, insider trading and market manipulation, prospectuses for public offerings and admission to trading</p>
Stichwörter	European Banking and Financial Law
Empfohlene Voraussetzungen	Not foreseen
Propädeutische Lehrveranstaltungen	
Unterrichtsform	Frontal didactic
Anwesenheitspflicht	Suggested, but not required
Spezifische Bildungsziele und erwartete Lernergebnisse	<p>Knowledge and understanding:</p> <p>Master's graduates should be able to acquire a knowledge of the legal instruments that enable them to deal with the management issues of companies and financial intermediaries, as well as an understanding of the mechanisms of functioning of financial markets. These learning outcomes are achieved through an advanced knowledge and understanding</p> <ul style="list-style-type: none"> - of the principles of contract law and commercial law to be considered in the management strategies of companies and financial intermediaries; - of tax law principles for the definition of the company's tax policy, also in an international perspective; - the principles of bankruptcy law for the management of company crises, restructuring and risks; - of banking and financial law principles affecting the management of financial institutions and financial investments; - the impact of taxation on business decision-making models. <p>Applying knowledge and understanding:</p> <p>Ability to apply knowledge in the area of Law to assess and decide the legal form of the organisation, also in relation to interactions with its stakeholders</p> <p>Ability to apply knowledge in the area of Law to analyse and integrate the legal and fiscal effects in the design and implementation of corporate restructuring operations and other</p>

	<p>extraordinary operations, also in an international context</p> <p>Ability to apply knowledge in the area of law to be able to dialogue and interact critically and competently with corporate and tax law professionals</p> <p>Making judgements:</p> <p>Ability to apply acquired knowledge to make managerial and operational decisions and to solve problems in the administration and finance of companies, intermediaries and financial markets, jointly taking into consideration multiple perspectives of analysis, from economic to legal, financial, strategic, managerial</p> <p>Ability to select data and use appropriate information to describe a problem concerning the management of companies, intermediaries and financial markets.</p> <p>Ability to relate models and empirical evidence in the study of companies, intermediaries and financial markets.</p> <p>Communication skills:</p> <p>Ability to communicate effectively in oral and written form the specialised contents of the individual disciplines, using different registers according to the recipients and the communicative and didactic purposes, and to evaluate the formative effects of its communication</p> <p>Learning skills:</p> <p>a) ability to use information technology autonomously to carry out bibliographic research and investigations and for one's own training and updating</p> <p>b) ability to identify thematic links and establish relationships between different cases and contexts of analysis</p> <p>c) ability to frame a new problem systematically and to generate appropriate taxonomies</p> <p>d) ability to develop general models from the phenomena studied.</p>
Spezifisches Bildungsziel und erwartete Lernergebnisse (zusätzliche Informationen)	<p>The course refers to the typical educational activities and belongs to the scientific area of Law.</p> <p>The specific objective of the course is the acquisition of knowledge and competences in financial law with particular reference to the intermediation in the banking industry and in financial markets. The professional competences of the course are integrated with the curriculum of the Master in Accounting and Finance. The focus</p>

	of the course is on the organization of the financial and banking markets from a legal perspective which will provide the students with an understanding of the professional competences necessary for their professional career.
Art der Prüfung	For attending and non attending students: the exam is written; students must respond to 3 out of 4 questions
Bewertungskriterien	The answer is evaluated in relation to the pertinence to the topic/question, its correctness, to the argumentative ability and to the ability to summarize as well as quality of language.
Pfichtliteratur	<p>Brescia Morra, Il diritto delle banche, Il Mulino, BO, (for banking law)</p> <p>Annunziata, Annunziata, Diritto del mercato mobiliare, Giappichelli, TO (for financial law)</p>
Weiterführende Literatur	
Weitere Informationen	
Ziele für nachhaltige Entwicklung (SDGs)	Menschenwürdige Arbeit und Wirtschaftswachstum