unibz
Freie Universität Bozen
Libera Università di Bolzano
Università Liedia de Bulsan

Syllabus

Course Description

Course Title	Banking and Financial Law
Course Code	25410
Course Title Additional	
Scientific-Disciplinary Sector	GIUR-03/A
Language	Italian
Degree Course	Master in Accounting and Finance
Other Degree Courses (Loaned)	
Lecturers	Prof. Stefano Lombardo, Stefano.Lombardo@unibz.it https://www.unibz.it/en/faculties/economics-management/academic-staff/person/2883
Teaching Assistant	
Semester	Second semester
Course Year/s	2
CP	6
Teaching Hours	36
Lab Hours	-
Individual Study Hours	-
Planned Office Hours	18
Contents Summary	The course deals with the topics related to banking law with reference to the single rule book, to the European banking union, to the notion, the management, and the supervision of the banking activity. For capital market law, the course delas with topics related to the financial services and activities, the collective asset management, the trading venues, market abuse, the prospectus, and the initial public offering.
Course Topics	Single Rule book and Banking Union Banking Activity and management, controls and supervision Supervision of intermediaries, financial services and activities,

	collective management of savings, trading venues, insider trading and market manipulation, prospectuses for public offerings and admission to trading
Keywords	European Banking and Financial Law
Recommended Prerequisites	Not forseen
Propaedeutic Courses	
Teaching Format	Frontal didactic
Mandatory Attendance	Suggested, but not required
Specific Educational Objectives and Learning Outcomes	ILO (Intended Learning Outcomes) ILO 1 – Knowledge and Understanding: ILO 1.1 of the principles of banking and financial law that affect the management of financial institutions and financial investments ILO 2 – Applying Knowledge and Understanding: ILO 2.1 to engage and interact critically and competently with professionals in corporate and tax law ILO 3 – Making Judgments: ILO 3.1 ability to apply the acquired knowledge to make strategic and operational decisions and to solve administration and finance problems in companies, intermediaries, and financial markets, while jointly considering multiple perspectives of analysis, from economic to legal, financial, strategic, and managerial ILO 4 – Communication Skills: ILO 4 Ability to effectively communicate, both orally and in writing, the specialized content of individual disciplines, using different registers depending on the audience and the communicative and educational purposes, and to assess the educational impact of one’s communication ILO 5 – Learning Skills: ILO 5.1 ability to identify thematic connections and establish relationships between different cases and contexts of analysis ILO 5.2 ability to frame a new problem systematically and generate appropriate taxonomies ILO 5.3 ability to develop general models based on the phenomena

	studied
Specific Educational Objectives and Learning Outcomes (additional info.)	The course refers to the typical educational activities and belongs to the scientific area of Law. The specific objective of the course is the acquisition of knowledge and competences in financial law with particular reference to the intermediation in the banking industry and in financial markets. The professional competences of the course are integrated with the curriculum of the Master in Accounting and Finance. The focus of the course is on the organization of the financial and banking markets from a legal perspective which will provide the students with an understanding of the professional competences necessary for their professional career.
Assessment	For attending and non attending students: the exam is written; students must respond to 3 out of 4 questions (ILO assessed 1-5)
Evaluation Criteria	The answer is evaluated in relation to the pertinence to the topic/question, its correctness, to the argumentative ability and to the ability to summarize as well as quality of language.
Required Readings	Brescia Morra, Il diritto delle banche, Il Mulino, BO, (for banking law) Annunziata, Annunziata, Diritto del mercato mobiliare, Giappichelli, TO (for financial law)
Supplementary Readings	
Further Information	
Sustainable Development Goals (SDGs)	Decent work and economic growth