

# Syllabus

## *Course Description*

<b>Course Title</b>	Italian and European Banking and Financial Law
<b>Course Code</b>	27351
<b>Course Title Additional</b>	
<b>Scientific-Disciplinary Sector</b>	GIUR-03/A
<b>Language</b>	Italian
<b>Degree Course</b>	Bachelor in Economics and Management
<b>Other Degree Courses (Loaned)</b>	L-33 Economics Politics and Ethics 2023
<b>Lecturers</b>	Prof. Stefano Lombardo, Stefano.Lombardo@unibz.it <a href="https://www.unibz.it/en/faculties/economics-management/academic-staff/person/2883">https://www.unibz.it/en/faculties/economics-management/academic-staff/person/2883</a>
<b>Teaching Assistant</b>	
<b>Semester</b>	First semester
<b>Course Year/s</b>	3
<b>CP</b>	6
<b>Teaching Hours</b>	36
<b>Lab Hours</b>	6
<b>Individual Study Hours</b>	-
<b>Planned Office Hours</b>	
<b>Contents Summary</b>	The course covers topics relating to banking and financial law with reference to domestic and European sources of law, the notion of banking, controls and supervision of banks and financial intermediaries. For securities law, the course covers topics relating to investment services and activities as well as collective asset management.
<b>Course Topics</b>	Sources of banking and securities law Banking and supervision Regulation of financial intermediaries Regulation of investment services and activities

	Collective asset management
<b>Keywords</b>	Italian and European banking law
<b>Recommended Prerequisites</b>	Not envisaged
<b>Propaedeutic Courses</b>	
<b>Teaching Format</b>	Frontal teaching
<b>Mandatory Attendance</b>	Not compulsory, but recommended
<b>Specific Educational Objectives and Learning Outcomes</b>	<p>ILO (Intended Learning Outcomes)</p> <p>ILO 1 Knowledge and understanding</p> <p>ILO 1.1 knowledge of the public and private legal system, also with reference to economic and business studies</p> <p>ILO 1.2 knowledge and ability to interpret the sources of law, with regard to the various sectors of the legal system: public, private, commercial and tax, in the European, national, regional and international contexts</p> <p>ILO 1.3 understanding of the legal framework regulating relations between private individuals and between private individuals and public bodies</p> <p>ILO 1.4 knowledge of company law also open to international orientations</p> <p>ILO 2 Ability to apply knowledge and understanding</p> <p>ILO 2.1 Being able to provide support to companies and corporate bodies through knowledge of the relevant area of law</p> <p>ILO 2.2 know how to critically analyse and evaluate corporate processes and dynamics</p> <p>ILO 2.3 be able to analyse cases arising from professional experience and apply the appropriate rules of the legal field concerned</p> <p>ILO 3 Autonomy of judgement</p> <p>LEO 3.1 find the necessary information in databases, legal sources and literature</p> <p>ILO 4 Learning ability</p>

	ILO 4.1 finding information from databases, scientific literature, laws and regulations as required in professional life
<b>Specific Educational Objectives and Learning Outcomes (additional info.)</b>	<p>This teaching is part of the characterising training activities and, specifically, belongs to the field of law.</p> <p>The specific objective of the teaching is the acquisition of basic knowledge and skills in financial law, in particular in banking and securities market intermediation.</p> <p>The professional knowledge related to the teaching is integrated with the curriculum of the degree course in Economics, Politics and Ethics as well as Economics and Management and is aimed at the qualification of banking and securities activities from a legal point of view in order to understand the relevant institutes functional to the acquisition of professional skills typical for the professional outlets of the degree courses.</p>
<b>Assessment</b>	For attending and non-attending students: the examination takes place in written form with 4 questions with 3 to be answered by choice.
<b>Evaluation Criteria</b>	The answer is assessed in relation to relevance to the topic/question, correctness, argumentative and synthesising ability as well as propriety of language.
<b>Required Readings</b>	Bontempi, 'Banking and Finance Law', Giuffre, MI
<b>Supplementary Readings</b>	
<b>Further Information</b>	
<b>Sustainable Development Goals (SDGs)</b>	Decent work and economic growth