Syllabus

Course Description

Course Title	Italian and European Banking and Financial Law
Course Code	27351
Course Title Additional	
Scientific-Disciplinary Sector	GIUR-03/A
Language	Italian
Degree Course	Bachelor in Economics and Management
Other Degree Courses (Loaned)	L-33 Economics Politics and Ethics 2023
Lecturers	Prof. Stefano Lombardo, Stefano.Lombardo@unibz.it https://www.unibz.it/en/faculties/economics-management/academic-staff/person/2883
Teaching Assistant	
Semester	First semester
Course Year/s	3
CP	6
Teaching Hours	36
Lab Hours	6
Individual Study Hours	-
Planned Office Hours	
Contents Summary	The course covers topics relating to banking and financial law with reference to domestic and European sources of law, the notion of banking, controls and supervision of banks and financial intermediaries. For securities law, the course covers topics relating to investment services and activities as well as collective asset management.
Course Topics	Sources of banking and securities law Banking and supervision Regulation of financial intermediaries Regulation of investment services and activities

	Collective asset management
Keywords	Italian and European banking law
Recommended Prerequisites	Not envisaged
Propaedeutic Courses	
Teaching Format	Frontal teaching
Mandatory Attendance	Not compulsory, but recommended
Specific Educational Objectives and Learning Outcomes	ILO (Intended Learning Outcomes) ILO 1 Knowledge and understanding ILO 1.1 knowledge of the public and private legal system, also with reference to economic and business studies ILO 1.2 knowledge and ability to interpret the sources of law, with regard to the various sectors of the legal system: public, private, commercial and tax, in the European, national, regional and international contexts ILO 1.3 understanding of the legal framework regulating relations between private individuals and between private individuals and public bodies ILO 1.4 knowledge of company law also open to international orientations ILO 2 Ability to apply knowledge and understanding ILO 2.1 Being able to provide support to companies and corporate bodies through knowledge of the relevant area of law ILO 2.2 know how to critically analyse and evaluate corporate processes and dynamics ILO 2.3 be able to analyse cases arising from professional experience and apply the appropriate rules of the legal field concerned ILO 3 Autonomy of judgement LEO 3.1 find the necessary information in databases, legal sources and literature ILO 4 Learning ability

	ILO 4.1 finding information from databases, scientific literature, laws and regulations as required in professional life
Specific Educational Objectives and Learning Outcomes (additional info.)	This teaching is part of the characterising training activities and, specifically, belongs to the field of law. The specific objective of the teaching is the acquisition of basic knowledge and skills in financial law, in particular in banking and securities market intermediation. The professional knowledge related to the teaching is integrated with the curriculum of the degree course in Economics, Politics and Ethics as well as Economics and Management and is aimed at the qualification of banking and securities activities from a legal point of view in order to understand the relevant institutes functional to the acquisition of professional skills typical for the professional outlets of the degree courses.
Assessment	For attending and non-attending students: the examination takes place in written form with 4 questions with 3 to be answered by choice.
Evaluation Criteria	The answer is assessed in relation to relevance to the topic/question, correctness, argumentative and synthesising ability as well as propriety of language.
Required Readings	Bontempi, 'Banking and Finance Law', Giuffre, MI
Supplementary Readings	
Further Information	
Sustainable Development Goals (SDGs)	Decent work and economic growth