

# Syllabus

## *Course Description*

Course Title	Italian and European Banking and Financial Law
Course Code	27351
Course Title Additional	
Scientific-Disciplinary Sector	IUS/05
Language	Italian
Degree Course	Bachelor in Economics and Management
Other Degree Courses (Loaned)	L-33 Economics Politics and Ethics 2023
Lecturers	Prof. Stefano Lombardo, Stefano.Lombardo@unibz.it <a href="https://www.unibz.it/en/faculties/economics-management/academic-staff/person/2883">https://www.unibz.it/en/faculties/economics-management/academic-staff/person/2883</a>
Teaching Assistant	
Semester	First semester
Course Year/s	3
CP	6
Teaching Hours	36
Lab Hours	6
Individual Study Hours	-
Planned Office Hours	
Contents Summary	The course covers topics related to banking and financial law with reference to domestic and European sources of law, the notion of banking, controls and supervision of banks and financial intermediaries. For securities law, the course covers topics relating to investment services and activities as well as collective asset management.
Course Topics	Legal sources of Banking and Financial law Banking activity and controls Regulation of financial intermediaries Regulation of financial services and activities

	Collective management of saving
<b>Keywords</b>	Italian and European Banking Law
<b>Recommended Prerequisites</b>	None
<b>Propaedeutic Courses</b>	
<b>Teaching Format</b>	Front-of-class teaching
<b>Mandatory Attendance</b>	Not compulsory, but recommended
<b>Specific Educational Objectives and Learning Outcomes</b>	<p>Knowledge and Understanding (A4b2)</p> <p>Domain: Legal</p> <p>knowledge of the public and private legal system, also with reference to economic and business studies</p> <p>knowledge and ability to interpret the sources of law, with regard to the different areas of the legal system: public, private, commercial and tax, in the European, national, regional and international contexts</p> <p>knowledge of the Italian Constitution with reference to the form of government, including the regions and other territorial authorities, and the rights of citizens and businesses</p> <p>understanding of the legal framework regulating relations between private individuals and between private individuals and public bodies</p> <p>knowledge of the law of persons, property, transfer of rights over property and the law of obligations, contract and liability</p> <p>knowledge of company law also open to international guidelines</p> <p>knowledge of the concept of tax subjects and of assessment, collection and refund procedures as well as taxpayer protection</p> <p>knowledge and understanding of company and corporate taxation</p> <p>knowledge of the mechanisms underlying effective communication of legal topics in three languages: Italian, German and English</p> <p>Area: Legal</p> <p>knowing how to find and use national, European and international legal sources</p> <p>knowing how to support companies and corporate bodies through knowledge of the relevant legal field</p> <p>being able to critically analyse and evaluate corporate processes and dynamics</p> <p>being able to analyse cases arising from professional experience and apply the appropriate rules of the relevant legal field</p> <p>to be able to communicate the results of legal analyses prepared in</p>

	<p>accordance with international professional standards in three languages: Italian, German and English</p> <p>Autonomy of judgement</p> <p>find the necessary information in databases, legal sources and literature</p> <p>Learning ability</p> <p>find information from databases, scientific literature, laws and regulations as required in professional life</p>
<b>Specific Educational Objectives and Learning Outcomes (additional info.)</b>	<p>The course refers to the typical educational activities and belongs to the scientific area of Law.</p> <p>Specific objective of the course is the acquisition of basic knowledge and competences in financial law with particular reference to the intermediation in the banking market and in the financial market.</p> <p>The professional competences of the course are integrated with the curriculum of the degree course in Economics, Politics and Ethics as well as Economics and Management and are devoted to the qualification of the firm and of the companies from a legal perspectives for the aim of the understanding of the relative institutes with the scope of understanding of the legal institutes functional to the acquisition of the professional competences typical of the professional jobs of the degree programs.</p>
<b>Assessment</b>	For attending and non-attending students: the exam is written; students have to answer 3 out of 4 questions.
<b>Evaluation Criteria</b>	The answer is evaluated in relation to the pertinence to the topic/question, its correctness, to the argumentative ability, to the ability to summarize and to property of language.
<b>Required Readings</b>	Bontempi, "Diritto bancario e finanziario", Giuffrè, MI
<b>Supplementary Readings</b>	
<b>Further Information</b>	
<b>Sustainable Development Goals (SDGs)</b>	Decent work and economic growth